

Democracy and Democratization
Political Science 276
Spring 2016
Professor Miguel Carreras
Wednesday 1:10-4:00 WAT 2145
Office Hours: 2-4 Thursday or by appointment
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Course Description

This seminar surveys the big debates on democracy and democratization from a Comparative Politics perspective. We start the quarter by examining competing definitions of democracy and the classic literature on the subject. The seminar is organized thematically, following some of the more persistent (and interesting) questions in the field. In particular, we will focus on different factors that have been argued to play a key role in fostering or undermining democracy. We will examine democratization by considering both structural explanations (economic development, social classes, political culture) and actor-based approaches (democracy promotion, transitions and elite pacts, and pressures from below). We will draw empirical cases from Latin America, Southern Europe, post-communist states, the Middle East, etc. In this seminar, students will read many important and classic contributions made to the study of democratization (and Comparative Politics more generally); as well as some more recent works which re-evaluate these important questions.

Requirements (for graduate students)

I. Class participation (25%): This course is a seminar. Students are thus expected to actively contribute to the discussion each and every week. This necessitates a careful reading of the material prior to the class. In preparing for class, students should think carefully about both the substantive and methodological aspects of each reading individually and in comparison with each other.

II. Analytical essays (25%): students will write two synthetic and critical essays (1,500-2,000 words) revolving around the readings of two weeks. The essays should compare and contrast, criticize and praise. They should be thematic, rather than discuss every article one by one. The essay must be submitted to the professor by e-mail before the class in which the readings are discussed (deadline - Tuesday at noon).

III. Research design (50%): For this assignment, students will identify a research question that could extend our knowledge of democracy and democratization in an interesting and potentially important way. The research design will deal with one of the substantive areas on the syllabus or on a special topic which is agreed upon by the instructor. The research design will place the research question within the existing literature. It will include why you think the research is important, how it extends previous research, and how you would go about addressing the problem. You will identify which methods and empirical evidence will be used to answer the question, and explain why the evidence and methods are appropriate for evaluating the question. If you have the available data and the methodological skills at the moment to execute the ideas you propose, by all means you are encouraged to do so, but this is not required.

Requirements (for undergraduate students)

I. Class participation (25%): This course is a seminar. Students are thus expected to actively contribute to the discussion each and every week. This necessitates a careful reading of the material prior to the class. In preparing for class, students should think carefully about both the substantive and methodological aspects of each reading individually and in comparison with each other.

II. Analytical essays (40%): students will write two synthetic and critical essays (1,500-2,000 words) revolving around the readings of two weeks. The essays should compare and contrast, criticize and praise. They should be thematic, rather than discuss every article one by one. The essay must be submitted to the professor by e-mail before the class in which the readings are discussed (deadline - Tuesday at noon).

III. Take-home final exam (35%): students will have a take-home final exam during the finals' week. Students will have 48 hours to answer two broad essay questions (around 1,500-2,000 words per answer) about the themes discussed during the quarter. In the exam, students are expected to critically engage with the readings discussed during the quarter.

Week 1: Definition: What is Democracy?

Schumpeter, Joseph (2003). Capitalism, Socialism, and Democracy. In R. A. Dahl, I. Shapiro & J. A. Cheibub (Eds.), *The Democracy Sourcebook*. Cambridge, MA: MIT Press.

Przeworski, Adam (1999). Minimalist Conception of Democracy: A Defense. In I. Shapiro & C. Hacker-Cordón (Eds.), *Democracy's Value*. New York: Cambridge University Press.

Dahl, Robert (1971). *Polyarchy: Participation and Opposition*. New Haven: Yale University Press, pp. 1-32.

Coppedge, Michael (2012). *Democratization and Research Methods*. New York: Cambridge University Press, chapter 2.

Barber, Benjamin (1984). *Strong Democracy: Participatory Politics for a New Age*. Berkeley, CA: University of California Press, chapter 6.

Week 2: The Political Economy of Democratization: Development and the Modernization Debate

Lipset, Seymour Martin (1959). Some Social Requisites of Democracy: Economic Development and Political Legitimacy. *American Political Science Review*, 53(1), 69-105.

Przeworski, Adam & Fernando Limongi (1997). Modernization: Theories and Facts. *World Politics*, 49(2), 155-183.

Boix, Carles, & Susan Stokes (2003). Endogenous Democratization. *World Politics*, 55(4), 517--549.

Treisman, Daniel (2015). Income, Democracy, and Leader Turnover. *American Journal of Political Science*, 59(4), 927–942.

Dunning, Thad (2008). *Crude Democracy: Natural Resource Wealth and Political Regimes*. New York: Cambridge University Press, chapter 1.

Week 3: The Political Economy of Democratization: Inequality

Acemoglu, Daron & James Robinson (2006). *Economic Origins of Dictatorship and Democracy*. New York: Cambridge University Press, chapters 1-2.

Boix, Carles (2003). *Democracy and Redistribution*. New York: Cambridge University Press, introduction & chapter 1.

Houle, Christian (2009). Inequality and Democracy: Why Inequality Harms Consolidation but Does Not Affect Democratization. *World Politics*, 53(3), 589-622.

Haggard, Stephan & Robert Kaufman (2012). Inequality and Regime Change: Democratic Transitions and the Stability of Democratic Rule. *American Political Science Review*, 106(3), 495-516.

Ansell, Ben & David Samuels (2010). Inequality and Democratization: A Contractarian Approach. *Comparative Political Studies*, 43(12), 1543-1574.

Week 4: Socio-historical Explanations of Democratization

Moore, Barrington (1966). *Social Origins of Dictatorship and Democracy: Lord and Peasant in the Making of the Modern World*. Boston: Beacon Press, preface and chapter 7.

Rueschemeyer, Dietrich, Evelyne Stephens & John D. Stephens (1992). *Capitalist Development and Democracy*. Chicago: The University of Chicago Press, chapters 1-3 & 7.

Collier, Ruth B. (1999). *Paths toward Democracy: The Working Class and Elites in Western Europe and South America*. New York: Cambridge University Press, chapters 1 & 5.

Week 5: International Factors 1: International Diffusion of Democracy

Weyland, Kurt (2014). *Making Waves: Democratic Contention in Europe and Latin America since the Revolutions of 1848*. New York: Cambridge University Press, chapters 1-4, 6, & 8.

Gleditsch, Kristian S. & Michael D. Ward (2006). Diffusion and the International Context of Democratization. *International Organization*, 60(4), 911-933.

Brinks, Daniel & Michael Coppedge (2006). Diffusion is no Illusion: Neighbor Emulation in the Third Wave of Democracy. *Comparative Political Studies*, 39(4), 463-489.

Week 6: International Factors 2: Democracy Promotion and Intervention

Santiso, Carlos (2001). International Co-operation for Democracy and Good Governance: Moving toward a Second Generation? *European Journal of Development Research*, 13(1), 154-180.

Pickering, Jeffrey & Mark Peceny (2006). Forging Democracy at Gunpoint. *International Studies Quarterly*, 50(3), 539-560.

Pevehouse, Jon C. (2002). Democracy from the Outside-In? International Organizations and Democratization. *International Organization*, 56(3), 515-549.

Levitsky, Steven & Lucas Way (2006). Linkage versus Leverage: Rethinking the International Dimension of Regime Change. *Comparative Politics*, 38(4), 379-400.

Finkel, Steven E., Aníbal Pérez-Liñán, & Mitchell A. Seligson (2007). The Effects of U.S. Foreign Assistance on Democracy Building, 1990-2003. *World Politics*, 59(3), 404-440.

Week 7: Political Culture, Religion and Democracy

Welzel, Christian & Ronald Inglehart (2007). Mass Beliefs and Democratic Institutions. In C. Boix & S. Stokes (Eds.), *The Oxford Handbook of Comparative Politics*. New York: Oxford University Press.

Putnam, Robert D. (1994). *Making Democracy Work: Civic Traditions in Modern Italy*. Princeton: Princeton University Press, chapters 1 & 4.

Berman, Sheri (1997). Civil Society and the Collapse of the Weimar Republic. *World Politics*, 49(3), 401-429.

Fish, Steven (2002). Islam and Authoritarianism. *World Politics*, 55(1), 4-37.

Tusalem, Rollin F. (2009). The Role of Protestantism in Democratic Consolidation among Transitional States. *Comparative Political Studies*, 42(7), 882-915.

Somer, Murat (2011). Does It Take Democrats to Democratize? Lessons from Islamic and Secular Elite Values in Turkey. *Comparative Political Studies*, 44(5), 511-545.

Week 8: The Birth of Democratic Regimes: Elite Actors and the Transition School

Przeworski, Adam (1991). *Democracy and the Market*. New York: Cambridge University Press, chapter 2.

Haggard, Stephan & Robert R. Kaufman (1995). *The Political Economy of Democratic Transitions*. Princeton: Princeton University Press, chapters 1-2.

Linz, Juan J., & Alfred Stepan (1996). *Problems of Democratic Transition and Consolidation: Southern Europe, South America, and Post-Communist Europe*. Baltimore: Johns Hopkins University Press, chapters 6 & 12.

Bunce, Valerie (2003). Rethinking recent Democratization: Lessons from the Postcommunist Experience. *World Politics*, 55(2), 167-192.

Schmitter, Philippe C. (2014). Reflections on "Transitology": Before and After. In D. M. Brinks, M. Leiras, & S. Mainwaring (Eds.), *Reflections on Uneven Democracies: The Legacy of Guillermo O'Donnell*. Baltimore: Johns Hopkins University Press.

Week 9: The Birth of Democratic Regimes: Protest and Revolutions

Wood, Elizabeth J. (2000). *Forging Democracy from Below: Insurgent Transitions in South Africa and El Salvador*. New York: Cambridge University Press, chapters 1-4.

Kuran, Timur (1991). Now out of Never: The Element of Surprise in the East European Revolution of 1989. *World Politics*, 44(1), 7-48.

Bellin, Eva (2012). Reconsidering the Robustness of Authoritarianism in the Middle East: Lessons from the Arab Spring. *Comparative Politics*, 44(2), 127-149.

Kalandadze, Katya & Mitchell A. Orenstein (2009). Electoral Protests and Democratization beyond the Color Revolutions. *Comparative Political Studies*, 42(11), 1403-1425.

Week 10: Cycles of Democratization and Reversal: The Example of Latin America

Mainwaring, Scott & Aníbal Pérez-Liñán (2013). *Democracies and Dictatorships in Latin America: Emergence, Survival, and Fall*. New York: Cambridge University Press.